

PART B - FEE(S) TRANSMITTAL

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APR 17 2007

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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

23377 7590 01/18/2007
WOODCOCK WASHBURN LLP
Cira Centre
2929 Arch Street, 12th Floor
Philadelphia, PA 19104-2891

04/17/2007 INTEFSW 00001745 09982001

01 FC:8001 36.00 DA

04/18/2007 SSANDAR1 00000001 233050 09982001

01 FC:2501 35.00 DA 665.00 DP

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|-------------------------------|---------------------------|--|----------------------------------|--------------------------|
| APPLICATION NO. 09/982,001 | FILING DATE 10/18/2001 | FIRST NAMED INVENTOR Stephen R. Forrest | ATTORNEY DOCKET NO. PUAS-0016 | CONFIRMATION NO. 8094 |
|-------------------------------|---------------------------|--|----------------------------------|--------------------------|

TITLE OF INVENTION: TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED CIRCUITS

| | | | | | | |
|-------------------------------|---------------------|--------------------|-------------------------------|------------------------------|--------------------------|------------------------|
| APPLN. TYPE nonprovisional | SMALL ENTITY YES | ISSUE FEE \$700 | PREV. PAID ISSUE FEE \$665 | PUBLICATION FEE \$0 | TOTAL FEE(S) DUE \$35 | DUE DATE 04/18/2007 |
| EXAMINER WOOD, KEVIN S. | | | ART UNIT 2874 | CLASS-SUBCLASS 385-014000 | | |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" Indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents.
If no name is listed, no name will be printed.
- 1 Woodcock Washburn LLP
- 2 _____
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type).

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Trustees Of Princeton University

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

Princeton, New Jersey, U.S.A.

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ Government

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- ☒ Issue Fee
- ☐ Publication Fee
- ☒ Advance Order - # of Copies 12

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5. Change in Entity Status (from status indicated below)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

Authorized Signature

Typed or printed name

John E. McGlynn

Date

April 17, 2007

Registration No.

42,863

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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PTOL-85B (Rev. 08/04) Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Adjustment date: 04/18/2007 SSANDAR1
08/02/2004 MMEKONE1 00000180 09982001
01 FC:2501 -665.00 DP